

NONPROVISIONAL PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Attorney Docket No.: 109016

Date: March 22, 2001

BOX PATENT APPLICATION

NONPROVISIONAL APPLICATION TRANSMITTAL  
RULE §1.53(b)

OLIFF & BERRIDGE, PLC  
P.O. Box 19928  
Alexandria, Virginia 22320  
Telephone: (703) 836-6400  
Facsimile: (703) 836-2787

Customer Number: 25944

Director of the U.S. Patent and Trademark Office  
Washington, D.C. 20231

Sir:

Transmitted herewith for filing under 37 C.F.R. §1.53(b) is the nonprovisional patent application

For (Title): NEAR-FIELD OPTICAL HEAD

By (Inventors): Minoru YAMADA and Mitsuru KINOCHI

- ☒ Formal drawings (Figs. 1-4; 4 sheets) are attached.  
☐ Use Figure \_\_\_\_\_ for front page of Publication.  
☐ A Declaration and Power of Attorney is filed herewith.  
☐ An assignment of the invention to TDK CORPORATION is filed herewith.  
☐ An Information Disclosure Statement is filed herewith.  
☐ Entitlement to small entity status is hereby asserted.  
☐ A Preliminary Amendment is filed herewith.  
☐ Please amend the specification by inserting before the first line the sentence --This nonprovisional application claims the benefit of U.S. Provisional Application No. \_\_\_\_\_ filed \_\_\_\_\_.  
☒ Priority of foreign application No. 2000-081580 filed March 23, 2000 in JAPAN is claimed (35 U.S.C. §119).  
☐ A certified copy of the above corresponding foreign application(s) is filed herewith.  
☐ This application is NOT to be published under 35 U.S.C. 112(b). The undersigned attorney or agent hereby certifies that the invention disclosed in this application has not been and will not be the subject of an application filed in another country, or under a multilateral international agreement, that requires publication at eighteen months after filing.  
☒ The filing fee is calculated below:

CLAIMS IN THE APPLICATION AFTER ENTRY OF  
ANY PRELIMINARY AMENDMENT NOTED ABOVE

FOR:	NO. FILED	NO. EXTRA
BASIC FEE		
TOTAL CLAIMS	12 - 20	= 0
INDEP CLAIMS	1 - 3	= 0
<input type="checkbox"/> MULTIPLE DEPENDENT CLAIMS PRESENTED		

\* If the difference is less than zero, enter "0".

SMALL ENTITY

RATE	FEE
	\$ 355
x 9 =	\$
x 40 =	\$
+ 135 =	\$
TOTAL	\$

OTHER THAN A  
SMALL ENTITY

RATE	FEE
	\$ 710
x 18	\$
x 80	\$
+ 270	\$
TOTAL	\$ 710

- ☒ Check No. 117353 in the amount of \$710.00 to cover the filing fee is attached. Except as otherwise noted herein, the Director is hereby authorized to charge any other fees that may be required to complete this filing, or to credit any overpayment, to Deposit Account No. 15-0461. Two duplicate copies of this sheet are attached.

Respectfully submitted,

*James A. Olliff*

James A. Olliff  
Registration No. 27,075

Thomas J. Pardini  
Registration No. 30,411